



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022
OF THE CONDITION AND AFFAIRS OF THE

AIX Specialty Insurance Company

NAIC Group Code 0088 0088 NAIC Company Code 12833 Employer's ID Number 20-5233538
(Current) (Prior)

Organized under the Laws of Delaware, State of Domicile or Port of Entry DE

Country of Domicile United States of America

Incorporated/Organized 06/20/2006 Commenced Business 12/22/2006

Statutory Home Office 1209 Orange Street, Wilmington, DE, US 19801-1120
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 440 Lincoln Street
(Street and Number)
Worcester, MA, 01653-0002 508-853-7200
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 440 Lincoln Street, Worcester, MA, US 01653-0002
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 440 Lincoln Street
(Street and Number)
Worcester, MA, US 01653-0002 508-853-7200-8557928
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address WWW.HANOVER.COM

Statutory Statement Contact Dennis M. Hazelwood, 508-853-7200-8557928
(Name) (Area Code) (Telephone Number)
DHAZELWOOD@HANOVER.COM 508-853-6332
(E-mail Address) (FAX Number)

OFFICERS

President John Conner Roche Vice President & Treasurer Nathaniel William Clarkin

Senior Vice President & Secretary Charles Frederick Cronin

OTHER

Dennis Francis Kerrigan Jr., Executive Vice President & GC Willard Ty-Lunn Lee, Executive Vice President

Jeffrey Mark Farber, Executive Vice President & CFO Bryan James Salvatore, Executive Vice President

Denise Maureen Lowsley, Executive Vice President

DIRECTORS OR TRUSTEES

Warren Ellison Barnes Jeffrey Mark Farber Lindsay France Greenfield

Dennis Francis Kerrigan Jr. Willard Ty-Lunn Lee Denise Maureen Lowsley

John Conner Roche Bryan James Salvatore Mark Joseph Welzenbach

State of Massachusetts SS:
County of Worcester

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

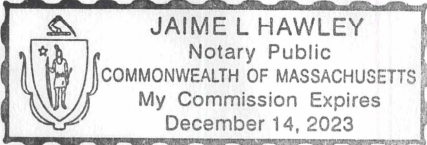
John Conner Roche
President

Charles Frederick Cronin
Senior Vice President & Secretary

Subscribed and sworn to before me this 2nd day of November 2022

Jaime L. Hawley
Notary
December 14, 2023

a. Is this an original filing? ☒ Yes ☐ No ☐
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....



ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	53,967,941	0	53,967,941	52,533,413
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$743,574), cash equivalents (\$0) and short-term investments (\$0)	743,574	0	743,574	1,398,399
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	54,711,515	0	54,711,515	53,931,812
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	367,725	0	367,725	365,458
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	0	0	0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	16,486	0	16,486	0
18.2 Net deferred tax asset	396,687	0	396,687	156,159
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	1,081,845	1,081,845	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	4,378
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	1,541,151	1,541,151	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	58,115,409	2,622,996	55,492,413	54,457,807
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	58,115,409	2,622,996	55,492,413	54,457,807
DETAILS OF WRITE-INS				
1101.	0	0	0	0
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Other Non-admitted Assets	1,541,151	1,541,151	0	0
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,541,151	1,541,151	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$0)	0	0
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	0	0
4. Commissions payable, contingent commissions and other similar charges	0	0
5. Other expenses (excluding taxes, licenses and fees)	0	0
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	0	0
7.1 Current federal and foreign income taxes (including \$0 on realized capital gains (losses))	0	28,040
7.2 Net deferred tax liability	0	0
8. Borrowed money \$0 and interest thereon \$0	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$80,790,610 and including warranty reserves of \$0 and accrued accident and health experience rating refunds including \$0 for medical loss ratio rebate per the Public Health Service Act)	0	0
10. Advance premium	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	1,390,114	235,600
13. Funds held by company under reinsurance treaties	0	0
14. Amounts withheld or retained by company for account of others	0	0
15. Remittances and items not allocated	0	0
16. Provision for reinsurance (including \$0 certified)	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	5,395	0
20. Derivatives	0	0
21. Payable for securities	0	0
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	1,395,509	263,640
27. Protected cell liabilities	0	0
28. Total liabilities (Lines 26 and 27)	1,395,509	263,640
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	5,000,000	5,000,000
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	0	0
34. Gross paid in and contributed surplus	45,668,776	45,668,776
35. Unassigned funds (surplus)	3,428,128	3,525,391
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 30 \$0)	0	0
36.20 shares preferred (value included in Line 31 \$0)	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	54,096,904	54,194,167
38. Totals (Page 2, Line 28, Col. 3)	55,492,413	54,457,807
DETAILS OF WRITE-INS		
2501.	0	0
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.	0	0
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.	0	0
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

	1	2	3
	Current Year to Date	Prior Year to Date	Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 138,188,879)	137,495,320	122,674,155	166,484,837
1.2 Assumed (written \$ 0)	0	0	0
1.3 Ceded (written \$ 138,188,879)	137,495,320	122,674,155	166,484,837
1.4 Net (written \$ 0)	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 0):			
2.1 Direct	64,886,012	51,553,226	98,117,253
2.2 Assumed	0	0	(81)
2.3 Ceded	64,886,012	51,553,226	98,117,172
2.4 Net	0	0	0
3. Loss adjustment expenses incurred	0	0	0
4. Other underwriting expenses incurred	0	0	0
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	0	0	0
7. Net income of protected cells	0	0	0
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	0	0	0
INVESTMENT INCOME			
9. Net investment income earned	1,028,969	1,142,777	1,519,771
10. Net realized capital gains (losses) less capital gains tax of \$ (1,537)	(10,377)	(13,391)	73,766
11. Net investment gain (loss) (Lines 9 + 10)	1,018,592	1,129,386	1,593,537
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 0 amount charged off \$ 0)	0	0	0
13. Finance and service charges not included in premiums	0	0	0
14. Aggregate write-ins for miscellaneous income	0	0	0
15. Total other income (Lines 12 through 14)	0	0	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	1,018,592	1,129,386	1,593,537
17. Dividends to policyholders	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	1,018,592	1,129,386	1,593,537
19. Federal and foreign income taxes incurred	211,010	221,652	223,870
20. Net income (Line 18 minus Line 19)(to Line 22)	807,582	907,734	1,369,667
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	54,194,167	55,801,534	55,801,534
22. Net income (from Line 20)	807,582	907,734	1,369,667
23. Net transfers (to) from Protected Cell accounts	0	0	0
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	0	0	0
25. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
26. Change in net deferred income tax	240,528	297,962	148,446
27. Change in nonadmitted assets	(1,145,373)	(1,418,867)	(1,125,480)
28. Change in provision for reinsurance	0	0	0
29. Change in surplus notes	0	0	0
30. Surplus (contributed to) withdrawn from protected cells	0	0	0
31. Cumulative effect of changes in accounting principles	0	0	0
32. Capital changes:			
32.1 Paid in	0	0	0
32.2 Transferred from surplus (Stock Dividend)	0	0	0
32.3 Transferred to surplus	0	0	0
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)	0	0	0
33.3 Transferred from capital	0	0	0
34. Net remittances from or (to) Home Office	0	0	0
35. Dividends to stockholders	0	0	(2,000,000)
36. Change in treasury stock	0	0	0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	(97,263)	(213,171)	(1,607,367)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	54,096,904	55,588,363	54,194,167
DETAILS OF WRITE-INS			
0501.	0	0	0
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401.	0	0	0
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0
3701.	0	0	0
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	1,154,514	193,500	235,600
2. Net investment income	1,025,204	1,140,014	1,537,188
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	2,179,718	1,333,514	1,772,788
5. Benefit and loss related payments	0	(1,343,610)	(1,343,610)
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	0	0	0
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ (1,537) tax on capital gains (losses)	253,999	105,000	194,000
10. Total (Lines 5 through 9)	253,999	(1,238,610)	(1,149,610)
11. Net cash from operations (Line 4 minus Line 10)	1,925,719	2,572,124	2,922,398
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	4,610,631	4,822,991	7,556,541
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	300,000	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	4,610,631	5,122,991	7,556,541
13. Cost of investments acquired (long-term only):			
13.1 Bonds	6,055,575	6,157,568	8,202,568
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	6,055,575	6,157,568	8,202,568
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(1,444,944)	(1,034,577)	(646,027)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	267,634
16.6 Other cash provided (applied)	(1,135,600)	(1,418,335)	(1,135,540)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,135,600)	(1,418,335)	(1,403,174)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(654,825)	119,212	873,197
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	1,398,399	525,202	525,202
19.2 End of period (Line 18 plus Line 19.1)	743,574	644,414	1,398,399

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Bonds sold in settlement of stockholder dividend	0	0	(1,716,641)
20.0002. Accrued interest on bonds sold in settlement of stockholder dividend	0	0	(15,725)

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of AIX Specialty Insurance Company ("the Company") are presented on the basis of accounting practices prescribed or permitted by the Delaware Insurance Department.

The State of Delaware Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Delaware for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Delaware Insurance Law. The National Association of Insurance Commissioners ("NAIC") "Accounting Practices and Procedures Manual" ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Delaware. The State has not adopted any prescribed accounting practices that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Delaware is shown below:

	SSAP #	F/S Page	F/S Line #	2022	2021
NET INCOME					
(1) State basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 807,582	\$ 1,369,667
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:				\$ -	\$ -
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:				\$ -	\$ -
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 807,582</u>	<u>\$ 1,369,667</u>
SURPLUS					
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 54,096,904	\$ 54,194,167
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:				\$ -	\$ -
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:				\$ -	\$ -
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 54,096,904</u>	<u>\$ 54,194,167</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by loans are stated at either amortized cost or fair value, using the scientific interest method, in accordance with the NAIC Purposes and Procedures of the Securities Valuation Office.
- (3) The Company does not own any common stocks.
- (4) The Company does not own any preferred stocks.
- (5) The Company does not own any mortgage loans.
- (6) Loan-backed securities are stated at either amortized cost or fair value, in accordance with the NAIC Purposes and Procedures of the Securities Valuation Office.
- (7) The Company does not own any stocks of, or have any interest in, any subsidiaries.
- (8) The Company does not own any other invested assets.
- (9) The Company does not own any derivatives.
- (10) The Company does utilize anticipated investment income as a factor in the premium deficiency calculation.
- (11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported ("IBNR"). Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified its capitalization policy from the prior year.
- (13) The Company does not write major medical insurance with prescription drug coverage.

D. Going Concern

Not applicable

NOTE 2 Accounting Changes and Corrections of Errors

Not applicable

NOTE 3 Business Combinations and Goodwill

Not applicable

NOTES TO FINANCIAL STATEMENTS

NOTE 4 Discontinued Operations

Not applicable

NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

The Company does not own any mortgage loans.

B. Debt Restructuring

The Company did not have any restructured debt.

C. Reverse Mortgages

The Company does not own any reverse mortgages.

D. Loan-Backed Securities

- (1) Prepayment assumptions for loan-backed and structured securities were obtained from prepayment models that are sensitive to refinancing, turnover, equity take-out and other relevant factors. These assumptions are consistent with the current interest rate and economic environment.
- (2) Not applicable
- (3) The Company had no securities with a recognized other-than-temporary impairment.

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recongized interest related impairment remains):

a) The aggregate amount of unrealized losses:		
1. Less than 12 Months	\$	267,121
2. 12 Months or Longer	\$	78,743

b)The aggregate related fair value of securities with unrealized losses:		
1. Less than 12 Months	\$	2,507,595
2. 12 Months or Longer	\$	323,883

(5) The Company employs a systematic methodology to determine if a decline in market value below book/adjusted carrying value is other-than-temporary. In determining whether a decline in fair value below book/adjusted carrying value is other-than-temporary, the Company evaluates several factors and circumstances, including the issuer's overall financial condition; the issuer's credit and financial strength ratings; the issuer's financial performance, including earnings trends, dividend payments, and asset quality; any specific events which may influence the operations of the issuer including governmental actions; a weakening of the general market conditions in the industry or geographic region in which the issuer operates; the length of time and degree to which the fair value of an issuer's securities remains below cost; the Company's intent and ability to hold the security until such time to allow for the expected recovery in value; and with respect to fixed maturity investments, any factors that might raise doubt about the issuer's ability to pay all amounts due according to the contractual terms. These factors are applied to all securities.

E., F., G., H., I., J., K.

Not applicable

L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted) Restricted						
	Current Year					6	7
	1	2	3	4	5		
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b. Collateral held under security lending agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
c. Subject to repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Subject to reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Subject to dollar repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. Placed under option contracts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
i. FHLB capital stock	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
j. On deposit with states	\$ 3,651,456	\$ -	\$ -	\$ -	\$ 3,651,456	\$ 3,653,055	\$ (1,599)
k. On deposit with other regulatory bodies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
m. Pledged as collateral not captured in other categories	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
n. Other restricted assets	\$ 4,229,141	\$ -	\$ -	\$ -	\$ 4,229,141	\$ 3,489,046	\$ 740,095
o. Total Restricted Assets	\$ 7,880,597	\$ -	\$ -	\$ -	\$ 7,880,597	\$ 7,142,101	\$ 738,496

(a) Subset of Column 1
(b) Subset of Column 3

NOTES TO FINANCIAL STATEMENTS

Restricted Asset Category	Current Year			
	8	9	Percentage	
			10	11
	Total Non-admitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	0.000%	0.000%
b. Collateral held under security lending agreements	\$ -	\$ -	0.000%	0.000%
c. Subject to repurchase agreements	\$ -	\$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements	\$ -	\$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
g. Placed under option contracts	\$ -	\$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	0.000%	0.000%
i. FHLB capital stock	\$ -	\$ -	0.000%	0.000%
j. On deposit with states	\$ -	\$ 3,651,456	6.283%	6.580%
k. On deposit with other regulatory bodies	\$ -	\$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ -	\$ -	0.000%	0.000%
m. Pledged as collateral not captured in other categories	\$ -	\$ -	0.000%	0.000%
n. Other restricted assets	\$ 1,541,151	\$ 2,687,990	7.277%	4.844%
o. Total Restricted Assets	\$ 1,541,151	\$ 6,339,446	13.560%	11.424%

(c) Column 5 divided by Asset Page, Column 1, Line 28
(d) Column 9 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not applicable

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted							8	Percentage	
	Current Year					6	7		9	10
	1	2	3	4	5					
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account (S/A) Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)		Total Current Year Admitted Restricted	Gross (Admitted & Nonadmitted) Restricted to Total Assets
Held in Trust for New York Surplus Lines	\$ 2,687,990	\$ -	\$ -	\$ -	\$ 2,687,990	\$ 2,582,142	\$ 105,848	\$ 2,687,990	4.625%	4.844%
Third Party Administrator Cash	\$ 1,541,151	\$ -	\$ -	\$ -	\$ 1,541,151	\$ 906,904	\$ 634,247	\$ -	2.652%	0.000%
Total (c)	\$ 4,229,141	\$ -	\$ -	\$ -	\$ 4,229,141	\$ 3,489,046	\$ 740,095	\$ 2,687,990	7.277%	4.844%

(a) Subset of column 1
(b) Subset of column 3
(c) Total Line for Columns 1 through 7 should equal 5L(1)n Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)n Columns 9 through 11 respectively.

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not applicable

M., N., O., P.

Not applicable

Q. Prepayment Penalty and Acceleration Fees

	General Account	Protected Cell
1. Number of CUSIPs	1	0
2. Aggregate Amount of Investment Income	\$4,596	\$0

R. Reporting Entity's Share of Cash Pool by Asset Type

Not applicable

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

NOTE 7 Investment Income

Not applicable

NOTES TO FINANCIAL STATEMENTS

NOTE 8 Derivative Instruments

Not applicable

NOTE 9 Income Taxes

A. The components of the net deferred tax asset/(liability) at the end of current period are as follows:

1.

	As of End of Current Period			12/31/2021			Change		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Ordinary	Capital	(Col. 1 + 2) Total	Ordinary	Capital	(Col. 4 + 5) Total	(Col. 1 - 4) Ordinary	(Col. 2 - 5) Capital	(Col. 7 + 8) Total
(a) Gross Deferred Tax Assets	\$ 434,904	\$ -	\$ 434,904	\$ 190,450	\$ -	\$ 190,450	\$ 244,454	\$ -	\$ 244,454
(b) Statutory Valuation Allowance Adjustment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	\$ 434,904	\$ -	\$ 434,904	\$ 190,450	\$ -	\$ 190,450	\$ 244,454	\$ -	\$ 244,454
(d) Deferred Tax Assets Nonadmitted	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	\$ 434,904	\$ -	\$ 434,904	\$ 190,450	\$ -	\$ 190,450	\$ 244,454	\$ -	\$ 244,454
(f) Deferred Tax Liabilities	\$ -	\$ 38,217	\$ 38,217	\$ -	\$ 34,291	\$ 34,291	\$ -	\$ 3,926	\$ 3,926
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	\$ 434,904	\$ (38,217)	\$ 396,687	\$ 190,450	\$ (34,291)	\$ 156,159	\$ 244,454	\$ (3,926)	\$ 240,528

2.

	As of End of Current Period			12/31/2021			Change		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Ordinary	Capital	(Col. 1 + 2) Total	Ordinary	Capital	(Col. 4 + 5) Total	(Col. 1 - 4) Ordinary	(Col. 2 - 5) Capital	(Col. 7 + 8) Total
Admission Calculation Components SSAP No. 101									
(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks	\$ 403,018	\$ -	\$ 403,018	\$ 190,450	\$ -	\$ 190,450	\$ 212,568	\$ -	\$ 212,568
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	\$ 31,886	\$ -	\$ 31,886	\$ -	\$ -	\$ -	\$ 31,886	\$ -	\$ 31,886
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.	\$ 31,886	\$ -	\$ 31,886	\$ -	\$ -	\$ -	\$ 31,886	\$ -	\$ 31,886
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	XXX	XXX	\$ 8,055,033	XXX	XXX	\$ 8,105,701	XXX	XXX	\$ (50,668)
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	\$ 434,904	\$ -	\$ 434,904	\$ 190,450	\$ -	\$ 190,450	\$ 244,454	\$ -	\$ 244,454

3.

	2022	2021
a. Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.	11016.000%	11086.000%
b. Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation In 2(b)2 Above.	\$53,700,217	\$54,038,008

4. Tax Planning Strategies

	As of End of Current Period		12/31/2021		Change	
	(1)	(2)	(3)	(4)	(5)	(6)
	Ordinary	Capital	Ordinary	Capital	(Col. 1 - 3) Ordinary	(Col. 2 - 4) Capital
Impact of Tax Planning Strategies:						
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.						
1. Adjusted Gross DTAs amount from Note 9A1(c)	\$ 434,904	\$ -	\$ 190,450	\$ -	\$ 244,454	\$ -
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	\$ 434,904	\$ -	\$ 190,450	\$ -	\$ 244,454	\$ -
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%

b. Do the Company's tax-planning strategies include the use of reinsurance? Yes [] No [X]

B. The Company does not have any deferred tax liabilities that are not recognized for amounts described in Accounting Standards Codification 740, Income Tax.

C. Current income taxes incurred consist of the following major components:

1. Current Income Tax
(a) Federal

(1) As of End of Current Period	(2) 12/31/2021	(3) (Col. 1 - 2) Change
\$ 211,010	\$ 223,870	\$ (12,860)

NOTES TO FINANCIAL STATEMENTS

(b) Foreign	\$	-	\$	-	\$	-
(c) Subtotal	\$	211,010	\$	223,870	\$	(12,860)
(d) Federal income tax on net capital gains	\$	(1,537)	\$	28,947	\$	(30,484)
(e) Utilization of capital loss carry-forwards	\$	-	\$	-	\$	-
(f) Other	\$	-	\$	-	\$	-
(g) Federal and foreign income taxes incurred	\$	209,473	\$	252,817	\$	(43,344)
2. Deferred Tax Assets:						
(a) Ordinary:						
(1) Discounting of unpaid losses	\$	-	\$	-	\$	-
(2) Unearned premium reserve	\$	-	\$	-	\$	-
(3) Policyholder reserves	\$	-	\$	-	\$	-
(4) Investments	\$	-	\$	-	\$	-
(5) Deferred acquisition costs	\$	-	\$	-	\$	-
(6) Policyholder dividends accrual	\$	-	\$	-	\$	-
(7) Fixed Assets	\$	-	\$	-	\$	-
(8) Compensation and benefits accrual	\$	-	\$	-	\$	-
(9) Pension accrual	\$	-	\$	-	\$	-
(10) Receivables - nonadmitted	\$	-	\$	-	\$	-
(11) Net operating loss carry-forward	\$	-	\$	-	\$	-
(12) Tax credit carry-forward	\$	-	\$	-	\$	-
(13) Other (including items <5% of total ordinary tax assets)	\$	434,904	\$	190,450	\$	244,454
(99) Subtotal	\$	434,904	\$	190,450	\$	244,454
(b) Statutory valuation allowance adjustment	\$	-	\$	-	\$	-
(c) Nonadmitted	\$	-	\$	-	\$	-
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	\$	434,904	\$	190,450	\$	244,454
(e) Capital:						
(1) Investments	\$	-	\$	-	\$	-
(2) Net capital loss carry-forward	\$	-	\$	-	\$	-
(3) Real estate	\$	-	\$	-	\$	-
(4) Other (including items <5% of total ordinary tax assets)	\$	-	\$	-	\$	-
(99) Subtotal	\$	-	\$	-	\$	-
(f) Statutory valuation allowance adjustment	\$	-	\$	-	\$	-
(g) Nonadmitted	\$	-	\$	-	\$	-
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	\$	-	\$	-	\$	-
(i) Admitted deferred tax assets (2d + 2h)	\$	434,904	\$	190,450	\$	244,454
3. Deferred Tax Liabilities:						
(a) Ordinary:						
(1) Investments	\$	-	\$	-	\$	-
(2) Fixed Assets	\$	-	\$	-	\$	-
(3) Deferred and uncollected premium	\$	-	\$	-	\$	-
(4) Policyholder reserves	\$	-	\$	-	\$	-
(5) Other (including items <5% of total ordinary tax liabilities)	\$	-	\$	-	\$	-
(99) Subtotal	\$	-	\$	-	\$	-
(b) Capital:						
(1) Investments	\$	38,217	\$	34,291	\$	3,926
(2) Real estate	\$	-	\$	-	\$	-
(3) Other (including items <5% of total capital tax liabilities)	\$	-	\$	-	\$	-
(99) Subtotal	\$	38,217	\$	34,291	\$	3,926
(c) Deferred tax liabilities (3a99 + 3b99)	\$	38,217	\$	34,291	\$	3,926
4. Net deferred tax assets/liabilities (2i - 3c)	\$	396,687	\$	156,159	\$	240,528

The change in net deferred income taxes is comprised of the following, exclusive of non-admitted assets:

	(1) As of End of Current Period	(2) 12/31/2021	(3) (Col. 1 - 2) Change
Adjusted gross deferred tax assets	\$ 434,904	\$ 190,450	\$ 244,454
Total deferred tax liabilities	\$ 38,217	\$ 34,291	\$ 3,926
Net deferred tax assets (liabilities)	\$ 396,687	\$ 156,159	\$ 240,528
Change in net deferred income tax			\$ 240,528

D. Reconciliation of Federal Income Tax Rate to Actual Effective Tax Rate

The significant items causing a difference between the statutory federal income tax rate and the Company's effective income tax rate are as follows:

	As of End of Current Period	
	Tax	Rate
Tax provision at statutory rate	\$ 213,582	21.0%
Non-admitted assets	\$ (240,528)	-23.6%
Return to provision & other	\$ (4,109)	-0.4%
Total	\$ (31,055)	-3.0%

	As of End of Current Period	
	Tax	Rate
Federal income taxes incurred	\$ 211,010	20.8%
Realized capital gains tax	\$ (1,537)	-0.2%
Change in net deferred income taxes	\$ (240,528)	-23.6%
Total statutory income taxes	\$ (31,055)	-3.0%

E. Operating Loss and Tax Credit Carryforwards

1. At the end of the current reporting period, the Company has no net operating loss carryforwards, and no capital loss carryforwards.
2. The Company has the following federal income taxes which are available for recoupment in the event of future losses:

For the tax year 2021:	\$	214,699
For the tax year 2022:	\$	188,319

NOTES TO FINANCIAL STATEMENTS

3. At the end of the current reporting period, the Company has no deposits under section 6603 of the Internal Revenue Service Code.

F. Consolidated Federal Income Tax Return

1. The Company's Federal Income Tax Return is consolidated with the following affiliated companies:

440 Lincoln Street Holding Company LLC	Massachusetts Bay Insurance Company
AIX, Inc.	NOVA Casualty Company
AIX Insurance Services of California, Inc.	OPUS Investment Management Inc
Allmerica Financial Alliance Insurance Company	Professionals Direct Inc
Allmerica Financial Benefit Insurance Company	The Hanover American Insurance Company
Allmerica Plus Insurance Agency, Inc.	The Hanover Atlantic Insurance Company Ltd.
Campania Holding Company, Inc.	The Hanover Casualty Company
Campmed Casualty & Indemnity Company, Inc.	The Hanover Insurance Company
Citizens Insurance Company of America	The Hanover Insurance Group, Inc.
Citizens Insurance Company of Illinois	The Hanover National Insurance Company
Citizens Insurance Company of Ohio	The Hanover New Jersey Insurance Company
Citizens Insurance Company of the Midwest	Veravest Investment Inc
Educators Insurance Agency, Inc.	Verlan Fire Insurance Company
Hanover Specialty Insurance Brokers, Inc.	Verlan Holdings Inc

2. The Board of Directors has delegated to Company Management, the development and maintenance of appropriate Federal Income Tax allocation policies and procedures, which are subject to written agreement between the companies. The Federal Income tax for all subsidiaries in the consolidated return of The Hanover Insurance Group, Inc. ("THG") is calculated on a separate return basis. Any current tax liability is paid to THG. Tax benefits resulting from taxable operating losses or credits of THG's subsidiaries are reimbursed to the subsidiary when such losses or credits can be utilized on a consolidated return basis.

G. The Company has no federal or foreign income tax loss contingencies, for which it is reasonably possible that the total liability will significantly increase within 12 months of the reporting date.

H. Repatriation Transition Tax (RTT)

Not applicable

I. Alternative Minimum Tax (AMT) Credit

Not applicable

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. Nature of Relationships

The Company is a wholly-owned subsidiary of NOVA Casualty Company ("NCC"), which, in turn, is a wholly-owned subsidiary of The Hanover Insurance Company ("Hanover"). Hanover, in turn, is a wholly-owned subsidiary of Opus Investment Management, Inc. ("OPUS"), which, in turn, is a wholly-owned non-insurance subsidiary of THG, a publicly traded company incorporated in Delaware.

B. Detail of Transactions Greater than 1/2% of Admitted Assets

Not applicable

C. Transactions with related party who are not reported on Schedule Y

Not applicable

D. Amouts due to or from Related Parties

At the end of the current reporting period, the Company reported \$5,395 as amounts due to an affiliated company. These arrangements require that intercompany balances be settled within 30 days.

E. Guarantees or Contingencies for Related Parties

Not applicable

F. Management, Service Contracts, Cost Sharing Arrangements

Companies affiliated with Hanover have entered into an intercompany Consolidated Service Agreement. Under the agreement, legal entities will be charged the cost of the service provided or expenses paid by the entity providing the service or paying the expense. In addition, these entities will be charged a portion of the costs associated with activities that are performed for the good of THG legal entities.

G. Nature of Relationships that Could Affect Operations

All outstanding shares of the Company are owned by NCC.

H., I., J., K., L., M., N., O.

Not applicable

NOTE 11 Debt

Not applicable

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

The labor for the Company is provided and paid for by Hanover. As such, the Company is included in the benefit plans in force for Hanover. Charges for actual salary and benefit costs for services provided to the Company by Hanover employees are ceded 100% pursuant to the Company's Intercompany Reinsurance Agreement.

A., B., C., D., E., F., G., H., I.

Not applicable

NOTES TO FINANCIAL STATEMENTS

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

A. Outstanding Shares

The Company has 5,000,000 shares of \$1 par value common stock authorized, issued and outstanding.

B. Dividend Rate of Preferred Stock

Not applicable

C-F Dividend Restrictions

Dividends on common stock are paid as declared by the Board of Directors of the Company. Under the insurance regulations of Delaware, the maximum amount of dividends which the Company may pay to shareholders is limited to its earned surplus at the end of the prior year. At December 31, 2021, the Company had earned surplus of \$3,525,391. Furthermore, the maximum amount of dividends which the Company may pay without prior approval of the Department is limited to the greater of 10% of the most recent year-end policyholders' surplus or net income, excluding realized capital gains. The Company declared an ordinary dividend of \$2,000,000 to NCC on November 2, 2021. Accordingly, the maximum dividend that may be paid at January 1, 2022 without prior approval is \$1,525,391. Subsequent to November 2, 2022, the maximum dividend payable without prior approval is \$3,525,391.

G-M

Not applicable

NOTE 14 Liabilities, Contingencies and Assessments

A., B., C., D., E., F.

Not applicable

G. All Other Contingencies

The Company routinely engages in various legal proceedings in the normal course of business, including claims for punitive damages. In the opinion of management, none of such contingencies are expected to have a material effect on the Company's financial position, although it is possible that the results of operations in a particular quarter or annual period would be materially affected by an adverse development or unfavorable outcome.

NOTE 15 Leases

The Company has no material lease obligations at this time.

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A., B.

Not applicable

C. Wash Sales

The Company generally does not sell and reacquire securities within 30 days of the sale date. There were no wash sale transactions with a NAIC designation of 3 or below in the current year.

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable

NOTE 20 Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

- a. There were no assets carried at fair value at the end of the reporting period.
- b. There were no liabilities carried at fair value at the end of the reporting period.

(2) The Company does not have any Level 3 assets or liabilities measured at fair value at the end of the reporting period.

(3) The reporting entity's policy is to recognize transfers in and transfers out as of the actual date of the event or change in circumstances that caused the transfer.

(4) For fair value measurements categorized within Level 2 of the fair value hierarchy, fair values of bonds are obtained by a quoted market price if available, otherwise, fair values are estimated using independent pricing sources or internally developed pricing models using discounted cash flow analyses.

The Company utilizes a third party pricing service for the valuation of the majority of its fixed maturity securities and receives one quote per security. When quoted market prices in an active market are available, they are provided by the pricing service as the fair value and such values are classified as Level 1. Since fixed maturities other than U.S. Treasury securities generally do not trade on a daily basis, the pricing service prepares estimates of fair value for those securities using pricing applications based on a market approach. Inputs into the fair value pricing applications which are common to all asset classes include benchmark U.S. Treasury security yield curves, reported trades of identical or similar fixed maturity securities, broker/dealer quotes of identical or similar fixed maturity securities and structural characteristics of the security, such as maturity date, coupon, mandatory principal payment dates, frequency of interest and principal payments and optional principal redemption features. Inputs into the fair value applications that are unique by asset class include, but are not limited to:

- U.S. government – determination of direct versus indirect government support and whether any contingencies exist with respect to the timely payment of principal and interest.

NOTES TO FINANCIAL STATEMENTS

- All other governments – estimates of appropriate market spread versus underlying related sovereign treasury curves dependent on liquidity and direct or contingent support.
- Corporate bonds, which are included in Industrial and miscellaneous bonds - overall credit quality, including assessments of the level and variability of: economic sensitivity; liquidity; corporate financial policies; management quality; regulatory environment; competitive position; ownership; restrictive covenants; and security or collateral.
- Municipal bonds, which are included in States, territories and possessions; Political subdivisions of states, territories and possessions; and Special revenue and special assessment obligations - overall credit quality, including assessments of the level and variability of: sources of payment such as income, sales or property taxes, levies or user fees; credit support such as insurance; state or local economic and political base; natural resource availability; and susceptibility to natural or man-made catastrophic events such as hurricanes, earthquakes or acts of terrorism.
- Residential mortgage-backed securities, U.S. agency pass-thrus and collateralized mortgage obligations (“CMOs”) which are included in U.S. governments and Special revenue and special assessment obligations - estimates of prepayment speeds based upon: historical prepayment rate trends; underlying collateral interest rates; geographic concentration; vintage year; borrower credit quality characteristics; interest rate and yield curve forecasts; government or monetary authority support programs; tax policies; and delinquency/default trends.
- Residential mortgage-backed securities, non-agency CMOs, which are included in Industrial and miscellaneous bonds - estimates of prepayment speeds based upon: historical prepayment rate trends; underlying collateral interest rates; geographic concentration; vintage year; borrower credit quality characteristics; interest rate and yield curve forecasts; government or monetary authority support programs; tax policies; delinquency/default trends; and severity of loss upon default and length of time to recover proceeds following default.
- Commercial mortgage-backed securities, which are included in Industrial and miscellaneous bonds - overall credit quality, including assessments of the value and supply/demand characteristics of: collateral type such as office, retail, residential, lodging, or other; geographic concentration by region, state, metropolitan statistical area and locale; vintage year; historical collateral performance including defeasance, delinquency, default and special servicer trends; and capital structure support features.
- Asset-backed securities, which are included in Industrial and miscellaneous bonds – overall credit quality, including assessments of the underlying collateral type such as credit card receivables, auto loan receivables and equipment lease receivables; geographic diversification; vintage year; historical collateral performance including delinquency, default and casualty trends; economic conditions influencing use rates and resale values; and contract structural support features.

Generally, all prices provided by the pricing service, except actively traded securities with quoted market prices, are reported as Level 2.

The Company holds privately placed corporate bonds and certain other bonds that do not have an active market and for which the pricing service cannot provide fair values. The Company determines fair values for these securities using either matrix pricing or broker quotes. The Company will use observable market data to the extent it is available, but is also required to use a certain amount of unobservable judgment due to the illiquid nature of the securities involved. Additionally, the Company may obtain nonbinding broker quotes which are reported as Level 3.

(5) Not applicable

- B. Not applicable
- C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 47,999,445	\$ 53,967,941	\$ 4,647,916	\$ 43,351,529	\$ -	\$ -	\$ -
Cash and Short Term Investments	\$ 743,574	\$ 743,574	\$ 743,574	\$ -	\$ -	\$ -	\$ -

D., E.

Not applicable

NOTE 21 Other Items

A. Unusual or Infrequent Items

Not applicable

B. Troubled Debt Restructuring: Debtors

Not applicable

C. Other Disclosures

The Company elected to use rounding to the nearest dollar in reporting amounts in the Statement, except as otherwise directed by instructions.

The Company reported \$0 for premiums receivable due from policyholders, agents and ceding insurers on Page 2 line 15. The Company has no accounts receivable for uninsured plans and amounts due from agents, controlled or controlling persons.

D. Business Interruption Insurance Recoveries

Not applicable

E. State Transferable and Non-transferable Tax Credits

Not applicable

F. Subprime Mortgage Related Risk Exposure

The Company has reviewed its investments in mortgage-backed securities and has determined that these investments are not subprime.

G. Insurance-Linked Securities (ILS) Contracts

Not applicable

H. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

NOTES TO FINANCIAL STATEMENTS

Not applicable		
NOTE 22 Events Subsequent		
Not applicable		
NOTE 23 Reinsurance		
A.	Unsecured Reinsurance Recoverables	
No change		
B.	Reinsurance Recoverable in Dispute	
Not applicable		
C.	Reinsurance Assumed and Ceded	
No Change		
D., E., F., G., H., I., J., K.		
Not applicable		
NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination		
Not applicable		
NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses		
Not applicable		
NOTE 26 Intercompany Pooling Arrangements		
Not applicable		
NOTE 27 Structured Settlements		
Not applicable		
NOTE 28 Health Care Receivables		
Not applicable		
NOTE 29 Participating Policies		
Not applicable		
NOTE 30 Premium Deficiency Reserves		
1.	Liability carried for premium deficiency reserves	\$ -
2.	Date of the most recent evaluation of this liability	09/30/2022
3.	Was anticipated investment income utilized in the calculation?	Yes [X] No []
NOTE 31 High Deductibles		
Not applicable		
NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses		
Not applicable		
NOTE 33 Asbestos/Environmental Reserves		
Not applicable		
NOTE 34 Subscriber Savings Accounts		
Not applicable		
NOTE 35 Multiple Peril Crop Insurance		
Not applicable		
NOTE 36 Financial Guaranty Insurance		
Not applicable		

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒] No [☐]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☒] No [☐]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0000944695
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [☒]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
.....
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [☐] No [☐] N/A [☒]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2019
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2019
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

02/22/2021
- 6.4

By what department or departments?
Delaware Insurance Department
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☐] No [☐] N/A [☒]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☒] No [☐]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Opus Investment Management, Inc	Worcester, MA				YES

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [] No [X]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|-----------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No [] N/A []
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.3

Total payable for securities lending reported on the liability page.

\$0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon	225 Liberty Street, New York, NY 10286

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Opus Investment Management, Inc	A.....
.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
107569	Opus Investment Management, Inc	549300UFGZJWL1LMOS85	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes [] No [] N/A [X]
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes [] No [X]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [] No [X]
- 3.2

If yes, give full and complete information thereto.
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves") discounted at a rate of interest greater than zero?

Yes [] No [X]
- 4.2

If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
	0.0	0.000	0	0	0	0	0	0	0	0
TOTAL			0	0	0	0	0	0	0	0

5.

Operating Percentages:

5.1 A&H loss percent0.000 %

5.2 A&H cost containment percent0.000 %

5.3 A&H expense percent excluding cost containment expenses0.000 %
- 6.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date\$.....0
- 6.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date\$.....0
7.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [X] No []
- 7.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
NONE						

NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

			1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
				2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
States, etc.			(a)	To Date	To Date	To Date	To Date	To Date	To Date
1.	Alabama	AL	E	1,191,723	1,227,955	865,690	337,851	2,304,639	1,557,237
2.	Alaska	AK	E	368,663	362,752	126,952	93,275	556,383	696,773
3.	Arizona	AZ	E	1,583,613	1,759,630	2,486,830	(1,081,935)	2,764,555	1,884,046
4.	Arkansas	AR	E	356,609	459,417	620,014	14,180	593,663	215,566
5.	California	CA	E	17,698,131	18,102,939	10,602,435	9,333,682	36,959,393	28,286,581
6.	Colorado	CO	E	2,436,085	2,393,428	433,372	3,457,573	3,356,460	3,118,150
7.	Connecticut	CT	E	1,555,149	1,574,074	333,859	738,301	4,956,965	3,699,008
8.	Delaware	DE	D	196,933	257,339	11,500	7,445	165,649	157,581
9.	District of Columbia	DC	E	782,692	626,426	3,201	1,316,688	359,008	534,126
10.	Florida	FL	E	11,859,423	10,504,780	9,907,455	3,250,426	19,045,600	15,659,215
11.	Georgia	GA	E	5,132,191	4,379,258	840,573	741,053	4,077,203	4,381,744
12.	Hawaii	HI	E	1,121,382	528,997	191,829	18,564	1,952,174	1,234,055
13.	Idaho	ID	E	905,993	849,433	136,180	13,199	365,035	470,849
14.	Illinois	IL	E	4,657,616	3,686,097	2,238,228	537,103	5,224,560	6,854,596
15.	Indiana	IN	E	1,688,858	1,919,985	47,811	63,765	1,631,844	1,441,059
16.	Iowa	IA	E	859,489	883,916	3,405,713	102,260	1,436,026	431,427
17.	Kansas	KS	E	170,372	372,872	1,136	15,942	150,055	262,662
18.	Kentucky	KY	E	430,447	357,492	22,573	2,348	889,213	807,189
19.	Louisiana	LA	E	2,991,579	2,442,784	996,559	1,121,671	5,125,161	4,554,244
20.	Maine	ME	E	584,254	578,574	86,825	55,770	669,999	382,194
21.	Maryland	MD	E	1,313,182	1,596,219	24,185	339,380	967,404	888,964
22.	Massachusetts	MA	E	4,549,635	4,722,211	2,779,160	491,129	6,044,917	6,943,121
23.	Michigan	MI	E	4,125,309	3,664,734	643,778	186,382	3,693,389	3,112,372
24.	Minnesota	MN	E	2,059,442	2,231,830	417,628	377,163	2,507,872	2,223,744
25.	Mississippi	MS	E	863,513	832,009	54,716	248,962	770,067	714,832
26.	Missouri	MO	E	1,114,864	985,859	540,137	127,439	1,194,922	1,413,413
27.	Montana	MT	E	542,703	378,584	77,040	30,338	278,096	275,177
28.	Nebraska	NE	E	358,152	453,900	26,617	36,250	364,136	294,711
29.	Nevada	NV	E	1,565,406	1,333,202	106,823	236,528	728,521	455,939
30.	New Hampshire	NH	E	1,373,982	1,448,848	26,557	261,012	1,499,151	832,499
31.	New Jersey	NJ	E	5,912,252	4,657,924	248,764	647,397	12,088,001	5,782,655
32.	New Mexico	NM	E	773,456	698,145	24,040	455,600	885,496	784,113
33.	New York	NY	E	16,823,100	13,176,173	2,763,075	1,607,353	30,991,342	22,441,450
34.	North Carolina	NC	E	1,796,054	1,552,900	74,303	209,366	2,498,768	1,638,785
35.	North Dakota	ND	E	206,449	392,075	2,256	27,226	272,701	309,604
36.	Ohio	OH	E	2,940,636	2,689,919	215,673	685,823	2,507,817	2,362,531
37.	Oklahoma	OK	E	306,331	244,492	364,418	470,953	428,737	860,161
38.	Oregon	OR	E	2,995,925	2,503,100	926,424	382,577	1,855,465	2,186,628
39.	Pennsylvania	PA	E	7,211,925	6,043,730	1,176,435	2,318,523	10,639,874	8,720,127
40.	Rhode Island	RI	E	1,054,406	1,138,146	35,000	688,090	4,548,931	2,158,201
41.	South Carolina	SC	E	976,948	997,456	462,751	1,256,141	2,324,416	4,241,971
42.	South Dakota	SD	E	352,291	253,292	4,775	166,676	174,099	133,565
43.	Tennessee	TN	E	1,430,465	1,872,247	1,230,358	92,773	4,321,594	4,455,543
44.	Texas	TX	E	8,676,119	8,812,680	5,187,960	1,755,835	13,239,499	9,908,877
45.	Utah	UT	E	1,679,370	1,049,996	4,974	330,152	894,738	751,605
46.	Vermont	VT	E	802,321	698,338	122,706	198,278	1,113,647	1,048,108
47.	Virginia	VA	E	4,745,024	3,361,047	326,497	59,288	2,735,500	2,000,716
48.	Washington	WA	E	3,017,815	2,416,811	1,266,079	359,205	3,378,295	2,070,747
49.	West Virginia	WV	E	590,335	850,887	182,784	296,500	749,836	517,470
50.	Wisconsin	WI	E	1,348,917	1,455,434	1,070,999	22,200	2,118,432	3,048,570
51.	Wyoming	WY	E	111,350	68,910	54,655	19,967	986,008	876,161
52.	American Samoa	AS	N	0	0	0	0	0	0
53.	Guam	GU	N	0	0	0	0	0	0
54.	Puerto Rico	PR	N	0	0	0	0	0	0
55.	U.S. Virgin Islands	VI	N	0	0	0	0	0	0
56.	Northern Mariana Islands	MP	N	0	0	0	0	0	0
57.	Canada	CAN	N	0	0	0	0	0	0
58.	Aggregate Other Alien	OT	XXX	0	0	0	0	0	0
59.	Totals	XXX		138,188,879	125,849,246	53,800,302	34,523,667	209,385,256	170,080,662
DETAILS OF WRITE-INS									
58001.		XXX							
58002.		XXX							
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....0

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....50

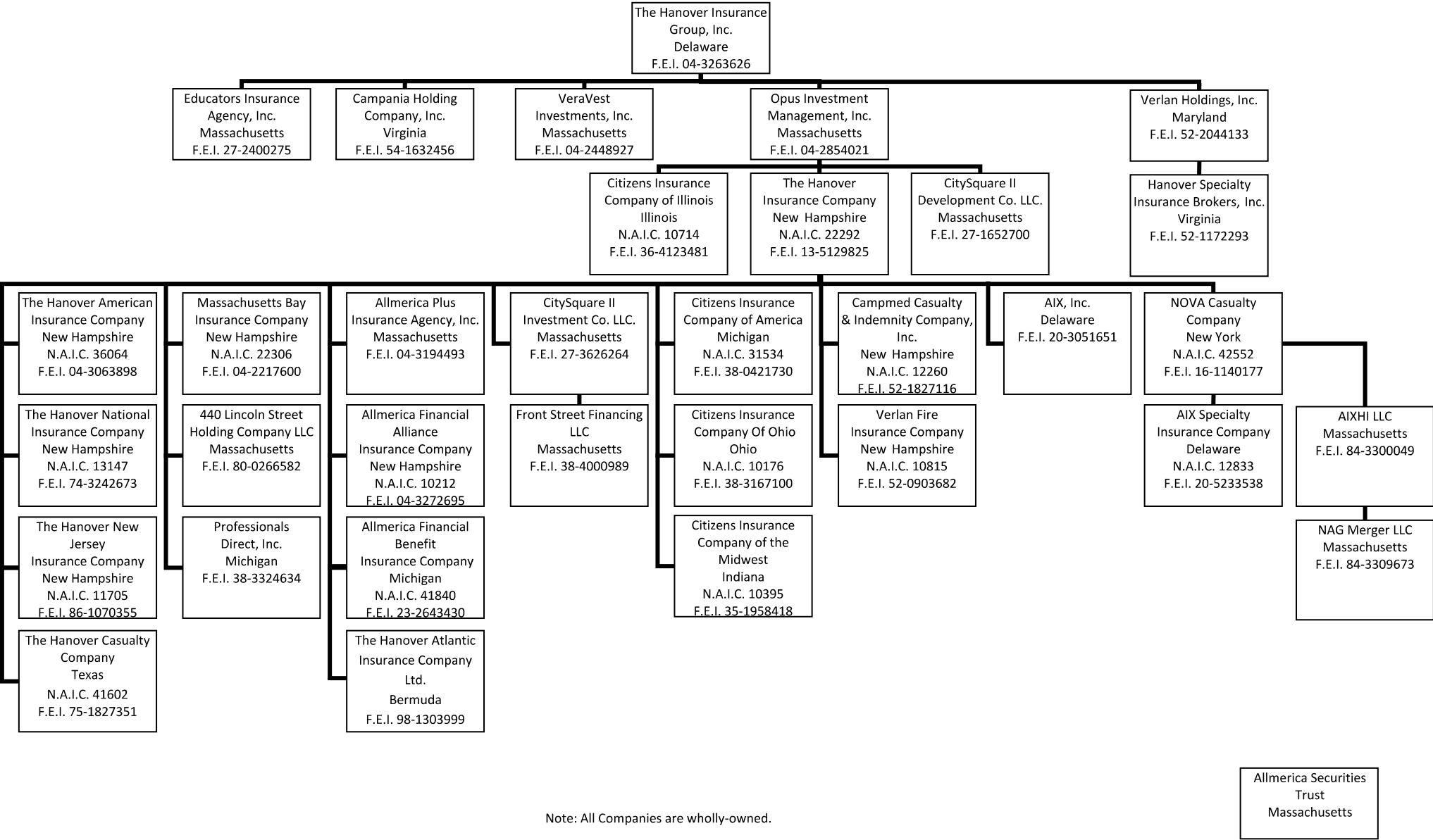
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....1

R - Registered - Non-domiciled RRGs.....0

Q - Qualified - Qualified or accredited reinsurer.....0

N - None of the above - Not allowed to write business in the state.....6

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



Note: All Companies are wholly-owned.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
	The Hanover Insurance Group		80-0266582				440 Lincoln Street Holding Company LLC	MA	NIA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		84-3300049				AIXHI LLC	MA	NIA	Nova Casualty Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	12833	20-5233538				AIX Specialty Insurance Company	DE	RE	Nova Casualty Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		20-3051651				AIX, Inc.	DE	NIA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	10212	04-3272695				Allmerica Financial Alliance Insurance Co.	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	41840	23-2643430				Allmerica Financial Benefit Insurance Co.	MI	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		04-3194493				Allmerica Plus Insurance Agency, Inc.	MA	NIA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group						Allmerica Securities Trust	MA	NIA	The Hanover Insurance Group, Inc.	Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		54-1632456				Campania Holding Company, Inc.	VA	NIA	The Hanover Insurance Group, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	12260	52-1827116				Campmed Casualty & Indemnity Co. Inc.	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	31534	38-0421730				Citizens Insurance Company of America	MI	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	10714	36-4123481				Citizens Insurance Company of Illinois	IL	IA	Opus Investment Management, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	10176	38-3167100				Citizens Insurance Company of Ohio	OH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	10395	35-1958418				Citizens Insurance Company of the Midwest	IN	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		27-1652700				CitySquare II Development Co., L.L.C	MA	NIA	Opus Investment Management, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		27-3626264				CitySquare II Investment Co., L.L.C	MA	NIA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		27-2400275				Educators Insurance Agency, Inc.	MA	NIA	The Hanover Insurance Group, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		38-4000989				Front Street Financing LLC	MA	NIA	CitySquare II Investment Co. LLC	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		52-1172293				Hanover Specialty Insurance Brokers, Inc.	VA	NIA	Verlan Holdings, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	22306	04-2217600				Massachusetts Bay Insurance Company	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		84-3309673				NAG Merger LLC	MA	NIA	AIXHI LLC	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	42552	16-1140177				NOVA Casualty Company	NY	UDP	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		04-2854021				Opus Investment Management, Inc.	MA	UIP	The Hanover Insurance Group, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		38-3324634				Professionals Direct, Inc.	MI	NIA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	36064	04-3063898				The Hanover American Insurance Company	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		98-1303999				The Hanover Atlantic Insurance Company Ltd.	BMJ	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	YES	
0088	The Hanover Insurance Group	41602	75-1827351				The Hanover Casualty Company	TX	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	22292	13-5129825				The Hanover Insurance Company	NH	UIP	Opus Investment Management, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group		04-3263626			New York Stock Exchange	The Hanover Insurance Group, Inc.	DE	UIP			0.000		NO	
0088	The Hanover Insurance Group	13147	74-3242673				The Hanover National Insurance Company	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	11705	86-1070355				The Hanover New Jersey Insurance Company	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		04-2448927				VeraVest Investments, Inc.	MA	NIA	The Hanover Insurance Group, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
0088	The Hanover Insurance Group	10815	52-0903682				Verlan Fire Insurance Company	NH	IA	The Hanover Insurance Company	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	
	The Hanover Insurance Group		52-2044133				Verlan Holdings, Inc.	MD	NIA	The Hanover Insurance Group, Inc.	Ownership, Board, Management	100.000	The Hanover Insurance Group, Inc.	NO	

Asterisk	

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire	19,069,308	3,957,653	20.8	1.3
2.1	Allied Lines	9,115,960	8,988,446	98.6	97.3
2.2	Multiple peril crop	0	0	0.0	0.0
2.3	Federal flood	0	0	0.0	0.0
2.4	Private crop	0	0	0.0	0.0
2.5	Private flood	0	65	0.0	0.0
3.	Farmowners multiple peril	0	0	0.0	0.0
4.	Homeowners multiple peril	0	0	0.0	0.0
5.	Commercial multiple peril	18,550,009	10,864,083	58.6	49.1
6.	Mortgage guaranty	0	0	0.0	0.0
8.	Ocean marine	0	0	0.0	0.0
9.	Inland marine	4,217,639	608,691	14.4	28.1
10.	Financial guaranty	0	0	0.0	0.0
11.1	Medical professional liability - occurrence	1,663,294	468,943	28.2	24.2
11.2	Medical professional liability - claims-made	9,746,570	1,339,861	13.7	17.8
12.	Earthquake	0	0	0.0	0.0
13.1	Comprehensive (hospital and medical) individual	0	0	0.0	0.0
13.2	Comprehensive (hospital and medical) group	0	0	0.0	0.0
14.	Credit accident and health	0	0	0.0	0.0
15.1	Vision only	0	0	0.0	0.0
15.2	Dental only	0	0	0.0	0.0
15.3	Disability income	0	0	0.0	0.0
15.4	Medicare supplement	0	0	0.0	0.0
15.5	Medicaid Title XIX	0	0	0.0	0.0
15.6	Medicare Title XVIII	0	0	0.0	0.0
15.7	Long-term care	0	0	0.0	0.0
15.8	Federal employees health benefits plan	0	0	0.0	0.0
15.9	Other health	0	0	0.0	0.0
16.	Workers' compensation	0	0	0.0	0.0
17.1	Other liability - occurrence	45,702,007	28,009,872	61.3	59.9
17.2	Other liability - claims-made	22,852,431	6,131,401	26.8	31.6
17.3	Excess workers' compensation	108,654	49,225	45.3	46.6
18.1	Products liability - occurrence	5,591,610	3,271,482	58.5	33.3
18.2	Products liability - claims-made	0	0	0.0	0.0
19.1	Private passenger auto no-fault (personal injury protection)	0	0	0.0	0.0
19.2	Other private passenger auto liability	0	0	0.0	0.0
19.3	Commercial auto no-fault (personal injury protection)	62	38	61.3	60.3
19.4	Other commercial auto liability	421,014	936,217	222.4	41.8
21.1	Private passenger auto physical damage	0	0	0.0	0.0
21.2	Commercial auto physical damage	449	229	51.0	61.2
22.	Aircraft (all perils)	0	0	0.0	0.0
23.	Fidelity	0	0	0.0	0.0
24.	Surety	0	(149)	0.0	0.0
26.	Burglary and theft	0	1	0.0	0.0
27.	Boiler and machinery	456,313	259,954	57.0	(20.6)
28.	Credit	0	0	0.0	0.0
29.	International	0	0	0.0	0.0
30.	Warranty	0	0	0.0	0.0
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0.0	0.0
35.	Totals	137,495,320	64,886,012	47.2	42.0
DETAILS OF WRITE-INS					
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire	8,272,724	20,948,947	16,076,916
2.1	Allied Lines	3,850,109	8,860,785	6,786,542
2.2	Multiple peril crop	0	0	0
2.3	Federal flood	0	0	0
2.4	Private crop	0	0	0
2.5	Private flood	0	0	0
3.	Farmowners multiple peril	0	0	0
4.	Homeowners multiple peril	0	0	0
5.	Commercial multiple peril	6,046,513	19,243,729	16,515,345
6.	Mortgage guaranty	0	0	0
8.	Ocean marine	0	0	0
9.	Inland marine	1,412,994	4,660,752	4,061,814
10.	Financial guaranty	0	0	0
11.1	Medical professional liability - occurrence	629,439	1,428,163	1,831,993
11.2	Medical professional liability - claims-made	3,796,177	10,268,984	10,310,505
12.	Earthquake	0	0	0
13.1	Comprehensive (hospital and medical) individual	0	0	0
13.2	Comprehensive (hospital and medical) group	0	0	0
14.	Credit accident and health	0	0	0
15.1	Vision only	0	0	0
15.2	Dental only	0	0	0
15.3	Disability income	0	0	0
15.4	Medicare supplement	0	0	0
15.5	Medicaid Title XIX	0	0	0
15.6	Medicare Title XVIII	0	0	0
15.7	Long-term care	0	0	0
15.8	Federal employees health benefits plan	0	0	0
15.9	Other health	0	0	0
16.	Workers' compensation	0	0	0
17.1	Other liability - occurrence	14,280,374	41,266,509	43,064,339
17.2	Other liability - claims-made	7,508,939	24,455,656	21,336,854
17.3	Excess workers' compensation	0	0	40,172
18.1	Products liability - occurrence	2,174,113	6,452,798	4,772,007
18.2	Products liability - claims-made	0	0	0
19.1	Private passenger auto no-fault (personal injury protection)	0	0	0
19.2	Other private passenger auto liability	0	0	0
19.3	Commercial auto no-fault (personal injury protection)	0	83	83
19.4	Other commercial auto liability	19,513	85,314	651,957
21.1	Private passenger auto physical damage	0	0	0
21.2	Commercial auto physical damage	125	641	675
22.	Aircraft (all perils)	0	0	0
23.	Fidelity	0	0	0
24.	Surety	0	0	0
26.	Burglary and theft	0	0	0
27.	Boiler and machinery	201,189	516,518	400,043
28.	Credit	0	0	0
29.	International	0	0	0
30.	Warranty	0	0	0
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0
35.	Totals	48,192,209	138,188,879	125,849,245
DETAILS OF WRITE-INS				
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2022 Loss and LAE Payments on Claims Reported as of Prior Year-End	2022 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2022 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2019 + Prior													
2. 2020													
3. Subtotals 2020 + Prior													
4. 2021													
5. Subtotals 2021 + Prior													
6. 2022	XXX	XXX	XXX	XXX			XXX				XXX	XXX	XXX
7. Totals													
8. Prior Year-End Surplus As Regards Policyholders											Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1.	2.	3.
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

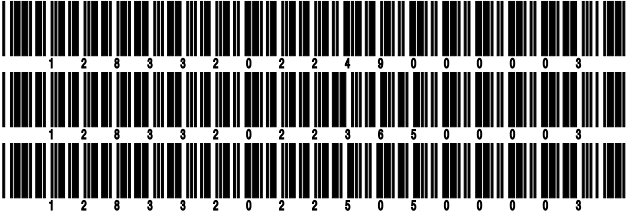
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	YES
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
AUGUST FILING	
5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanations:

1.
3.
4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	52,533,413	53,522,312
2. Cost of bonds and stocks acquired	6,055,575	8,202,568
3. Accrual of discount	21,972	54,026
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	(11,914)	102,713
6. Deduct consideration for bonds and stocks disposed of	4,615,227	9,344,095
7. Deduct amortization of premium	20,474	75,024
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	4,596	70,913
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	53,967,941	52,533,413
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	53,967,941	52,533,413

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	48,063,566	1,973,555	1,478,410	199	47,198,322	48,063,566	48,558,911	46,174,786
2. NAIC 2 (a)	5,408,739	0	0	292	6,059,131	5,408,739	5,409,031	6,358,627
3. NAIC 3 (a)	0	0	0	0	0	0	0	0
4. NAIC 4 (a)	0	0	0	0	0	0	0	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	53,472,305	1,973,555	1,478,410	492	53,257,453	53,472,305	53,967,941	52,533,413
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	53,472,305	1,973,555	1,478,410	492	53,257,453	53,472,305	53,967,941	52,533,413

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

Schedule E - Part 2 - Verification - Cash Equivalents

N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912828-6X-3	UNITED STATES TREASURY08/31/2022	BARCLAYS CAPITAL INC	477,324	500,000	2,729	1.A
91282C-FE-6	UNITED STATES TREASURY08/24/2022	BARCLAYS CAPITAL INC	496,230	500,000	467	1.A
0109999999. Subtotal - Bonds - U.S. Governments						973,555	1,000,000	3,196	XXX
578004-HD-0	MASSACHUSETTS (COMMONWEALTH OF)08/17/2022	Jefferies	500,000	500,000	0	1.B FE
0909999999. Subtotal - Bonds - U.S. Special Revenues						500,000	500,000	0	XXX
06406R-BJ-5	BANK OF NEW YORK MELLON CORP07/19/2022	MORGAN STANLEY CO	500,000	500,000	0	1.E FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						500,000	500,000	0	XXX
2509999997. Total - Bonds - Part 3						1,973,555	2,000,000	3,196	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
2509999999. Total - Bonds						1,973,555	2,000,000	3,196	XXX
4509999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						0	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						0	XXX	0	XXX
.....
.....
.....
6009999999 - Totals						1,973,555	XXX	3,196	XXX

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
36179V-DN-7	G2 MA6409 - RMBS		09/01/2022	Paydown		6,852	6,852	7,035	7,139	0	(287)	0	(287)	0	6,852	0	0	0	136	01/20/2050	1.A
36179V-HF-0	G2 MA6530 - RMBS		09/01/2022	Paydown		2,661	2,661	2,664	2,673	0	(12)	0	(12)	0	2,661	0	0	0	43	03/20/2050	1.A
36179V-ZQ-6	G2 MA7051 - RMBS		09/01/2022	Paydown		13,452	13,452	14,032	14,029	0	(576)	0	(576)	0	13,452	0	0	0	178	12/20/2050	1.A
38380T-PZ-3	GNR 2016-173 CT - CMO/RMBS		09/01/2022	Paydown		62,325	62,325	63,046	62,578	0	(252)	0	(252)	0	62,325	0	0	0	1,261	10/20/2045	1.A
38382C-CW-9	GNR 2020-013 HB - CMO/RMBS		09/01/2022	Paydown		15,646	15,646	16,077	15,953	0	(307)	0	(307)	0	15,646	0	0	0	323	12/20/2048	1.A
0109999999. Subtotal - Bonds - U.S. Governments						100,937	100,937	102,853	102,371	0	(1,435)	0	(1,435)	0	100,937	0	0	0	1,941	XXX	XXX
68608K-NE-4	OREGON ST		08/01/2022	Call @ 100.00		60,000	60,000	69,906	62,835	0	(616)	0	(616)	0	62,219	0	(2,219)	(2,219)	3,445	08/01/2024	1.B FE
68608U-KU-9	OREGON ST		07/01/2022	Maturity @ 100.00		240,000	240,000	240,000	240,000	0	0	0	0	0	240,000	0	0	0	7,320	07/01/2022	1.B FE
0509999999. Subtotal - Bonds - U.S. States, Territories and Possessions						300,000	300,000	309,906	302,835	0	(616)	0	(616)	0	302,219	0	(2,219)	(2,219)	10,765	XXX	XXX
13034P-ZN-0	CALIFORNIA HSG FIN AGY REV		08/01/2022	Call @ 100.00		225,000	225,000	224,757	224,920	0	22	0	22	0	224,942	0	58	58	6,813	02/01/2024	1.D FE
3128MJ-YZ-7	FH G08727 - RMBS		09/01/2022	Paydown		8,686	8,686	8,891	8,897	0	(212)	0	(212)	0	8,686	0	0	0	202	10/01/2046	1.A
3128MJ-ZB-9	FH G08737 - RMBS		09/01/2022	Paydown		4,215	4,215	4,220	4,221	0	(6)	0	(6)	0	4,215	0	0	0	83	12/01/2046	1.A
3132WM-NJ-9	FH Q47592 - RMBS		09/01/2022	Paydown		9,068	9,068	9,296	9,307	0	(239)	0	(239)	0	9,068	0	0	0	213	04/01/2047	1.A
31335A-QE-1	FH G60453 - RMBS		09/01/2022	Paydown		16,205	16,205	16,332	16,332	0	(127)	0	(127)	0	16,205	0	0	0	341	01/01/2046	1.A
31335A-WI-4	FH G60661 - RMBS		09/01/2022	Paydown		5,047	5,047	5,307	5,349	0	(302)	0	(302)	0	5,047	0	0	0	120	07/01/2046	1.A
3136AA-EK-7	FNR 2012-129 TD - CMO/RMBS		09/01/2022	Paydown		865	865	873	872	0	(7)	0	(7)	0	865	0	0	0	11	05/25/2040	1.A
3136AH-H9-4	FNR 2013-130 A - CMO/RMBS		09/01/2022	Paydown		3,782	3,782	3,748	3,751	0	32	0	32	0	3,782	0	0	0	76	01/25/2044	1.A
3137AS-RZ-9	FHR 4099 VB - CMO/RMBS		09/01/2022	Paydown		37,858	37,858	39,562	37,912	0	(54)	0	(54)	0	37,858	0	0	0	759	09/15/2033	1.A
31418C-CH-5	FN MA2771 - RMBS		09/01/2022	Paydown		9,624	9,624	9,956	9,941	0	(318)	0	(318)	0	9,624	0	0	0	191	10/01/2046	1.A
64469N-AD-1	NEW HAMPSHIRE ST FED HWY		09/01/2022	Maturity @ 100.00		250,000	250,000	250,000	250,000	0	0	0	0	0	250,000	0	0	0	10,835	09/01/2022	1.F FE
679087-FB-7	OKLAHOMA ST CAP IMPT AUTH ST HWY CAP IMP		07/01/2022	Maturity @ 100.00		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	26,200	07/01/2022	1.D FE
92813T-EE-6	VIRGINIA ST HSG DEV AUTH HOMEOWNERSHIP M		09/01/2022	Paydown		4,963	4,963	4,789	4,795	0	167	0	167	0	4,963	0	0	0	110	08/25/2042	1.B FE
0909999999. Subtotal - Bonds - U.S. Special Revenues						1,075,313	1,075,313	1,077,731	1,076,299	0	(1,044)	0	(1,044)	0	1,075,254	0	58	58	45,954	XXX	XXX
2509999997. Total - Bonds - Part 4						1,476,249	1,476,249	1,490,490	1,481,505	0	(3,095)	0	(3,095)	0	1,478,410	0	(2,160)	(2,160)	58,660	XXX	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999. Total - Bonds						1,476,249	1,476,249	1,490,490	1,481,505	0	(3,095)	0	(3,095)	0	1,478,410	0	(2,160)	(2,160)	58,660	XXX	XXX
4509999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5999999999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
6009999999 - Totals						1,476,249	XXX	1,490,490	1,481,505	0	(3,095)	0	(3,095)	0	1,478,410	0	(2,160)	(2,160)	58,660	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Bank of New York Mellon New York, NY		0.000	0	0	1,096,398	620,948	586,055	XXX
BB&T Bank Winston Salem, NC		0.000	0	0	967	917	91,309	XXX
Signature Bank Garden City, NY		0.000	0	0	213,000	213,000	65,710	XXX
0199998. Deposits in ... 1 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	500	500	500	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	1,310,865	835,365	743,574	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	1,310,865	835,365	743,574	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	0	0	1,310,865	835,365	743,574	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

N O N E

Designate the type of health care providers reported on this page:
Physicians, including surgeons and osteopaths

SUPPLEMENT A TO SCHEDULE T

EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN

ALLOCATED BY STATES AND TERRITORIES

		1	2	Direct Losses Paid		5	Direct Losses Unpaid		8
				3	4		6	7	
States, etc.		Direct Premiums Written	Direct Premiums Earned	Amount	No. of Claims	Direct Losses Incurred	Amount Reported	No. of Claims	Direct Losses Incurred But Not Reported
1.	Alabama.....AL	0	0	0	0	0	0	0	0
2.	Alaska.....AK	0	0	0	0	0	0	0	0
3.	Arizona.....AZ	0	62	0	0	(3,482)	0	0	3,486
4.	Arkansas.....AR	0	0	0	0	0	0	0	0
5.	California.....CA	9,460	20,534	0	0	(22,946)	0	0	(9,357)
6.	Colorado.....CO	0	0	0	0	(5,975)	0	0	(827)
7.	Connecticut.....CT	0	3,156	0	0	(15,050)	0	0	(5,525)
8.	Delaware.....DE	0	0	0	0	0	0	0	0
9.	District of Columbia.....DC	0	0	0	0	(824)	0	0	(310)
10.	Florida.....FL	0	0	0	0	(576)	0	0	(150)
11.	Georgia.....GA	0	0	0	0	(5,282)	0	0	(1,820)
12.	Hawaii.....HI	0	0	0	0	0	0	0	0
13.	Idaho.....ID	0	889	0	0	(1,928)	0	0	(860)
14.	Illinois.....IL	0	0	0	0	0	0	0	(33)
15.	Indiana.....IN	0	8,018	0	0	(16,493)	0	0	(6,539)
16.	Iowa.....IA	0	0	0	0	0	0	0	0
17.	Kansas.....KS	0	0	0	0	0	0	0	0
18.	Kentucky.....KY	0	556	0	0	(2,412)	0	0	(924)
19.	Louisiana.....LA	0	1,336	0	0	(49,411)	13,000	1	(5,681)
20.	Maine.....ME	0	0	0	0	(1,517)	0	0	(534)
21.	Maryland.....MD	0	0	0	0	(1,408)	0	0	(506)
22.	Massachusetts.....MA	0	0	0	0	(10,882)	0	0	(3,791)
23.	Michigan.....MI	961	23,859	0	0	(50,923)	0	0	(8,994)
24.	Minnesota.....MN	0	0	0	0	(3,828)	0	0	(1,361)
25.	Mississippi.....MS	0	0	0	0	0	0	0	0
26.	Missouri.....MO	0	486	0	0	9,124	0	0	33,099
27.	Montana.....MT	0	0	0	0	0	0	0	0
28.	Nebraska.....NE	0	0	0	0	0	0	0	0
29.	Nevada.....NV	0	0	0	0	0	0	0	0
30.	New Hampshire.....NH	0	0	0	0	(15,564)	0	0	(5,098)
31.	New Jersey.....NJ	0	0	0	0	0	0	0	0
32.	New Mexico.....NM	0	2,454	0	0	(3,658)	0	0	(1,672)
33.	New York.....NY	0	0	0	0	(8,608)	0	0	(3,247)
34.	North Carolina.....NC	0	934	0	0	(15,132)	0	0	(5,458)
35.	North Dakota.....ND	0	0	0	0	0	0	0	0
36.	Ohio.....OH	13,158	9,818	0	0	(15,951)	0	0	(6,206)
37.	Oklahoma.....OK	0	0	0	0	0	0	0	0
38.	Oregon.....OR	0	0	0	0	(1,890)	0	0	(674)
39.	Pennsylvania.....PA	(1,439)	(1,439)	0	0	(1,415)	0	0	(335)
40.	Rhode Island.....RI	0	0	0	0	(1,110)	0	0	(386)
41.	South Carolina.....SC	0	2,347	0	0	(10,651)	0	0	(3,872)
42.	South Dakota.....SD	0	0	0	0	0	0	0	0
43.	Tennessee.....TN	0	2,040	0	0	716	0	0	32,048
44.	Texas.....TX	16,749	13,455	0	0	(36,627)	0	0	3,620
45.	Utah.....UT	0	266	0	0	(2,041)	0	0	(755)
46.	Vermont.....VT	0	0	0	0	0	0	0	0
47.	Virginia.....VA	6,864	6,978	0	0	(7,440)	0	0	(3,195)
48.	Washington.....WA	5,171	26,680	0	0	(14,225)	0	0	(6,243)
49.	West Virginia.....WV	0	0	0	0	0	0	0	0
50.	Wisconsin.....WI	0	0	0	0	(1,991)	0	0	(650)
51.	Wyoming.....WY	0	0	0	0	0	0	0	0
52.	American Samoa.....AS	0	0	0	0	0	0	0	0
53.	Guam.....GU	0	0	0	0	0	0	0	0
54.	Puerto Rico.....PR	0	0	0	0	0	0	0	0
55.	U.S. Virgin Islands.....VI	0	0	0	0	0	0	0	0
56.	Nothern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57.	Canada.....CAN	0	0	0	0	0	0	0	0
58.	Aggregate Other Aliens.....OT	0	0	0	0	0	0	0	0
59.	Totals	50,924	122,429	0	0	(319,400)	13,000	1	(12,750)
DETAILS OF WRITE-INS									
58001.								
58002.								
58003.								
58998.	Summary of remaining write-ins for Line 58 from overflow page.....	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	0	0	0	0	0	0	0	0



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

Designate the type of health care
providers reported on this page:
Hospitals

SUPPLEMENT A TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES

		1	2	Direct Losses Paid		5	Direct Losses Unpaid		8
				3	4		6	7	
States, etc.		Direct Premiums Written	Direct Premiums Earned	Amount	No. of Claims	Direct Losses Incurred	Amount Reported	No. of Claims	Direct Losses Incurred But Not Reported
1.	Alabama.....AL	0	0	0	0	0	0	0	0
2.	Alaska.....AK	0	0	0	0	0	0	0	0
3.	Arizona.....AZ	0	0	0	0	0	0	0	0
4.	Arkansas.....AR	0	0	0	0	0	0	0	0
5.	California.....CA	0	0	0	0	45,858	0	0	0
6.	Colorado.....CO	0	0	0	0	0	0	0	0
7.	Connecticut.....CT	0	0	0	0	0	0	0	0
8.	Delaware.....DE	0	0	0	0	0	0	0	0
9.	District of Columbia.....DC	0	0	0	0	0	0	0	0
10.	Florida.....FL	0	0	0	0	0	0	0	0
11.	Georgia.....GA	0	0	0	0	0	0	0	0
12.	Hawaii.....HI	0	0	0	0	0	0	0	0
13.	Idaho.....ID	0	0	0	0	0	0	0	0
14.	Illinois.....IL	0	0	0	0	0	0	0	0
15.	Indiana.....IN	0	0	0	0	0	0	0	0
16.	Iowa.....IA	0	0	0	0	0	0	0	0
17.	Kansas.....KS	0	0	0	0	0	0	0	0
18.	Kentucky.....KY	0	0	0	0	0	0	0	0
19.	Louisiana.....LA	0	0	0	0	0	0	0	0
20.	Maine.....ME	0	0	0	0	0	0	0	0
21.	Maryland.....MD	0	0	0	0	0	0	0	0
22.	Massachusetts.....MA	0	0	0	0	0	0	0	0
23.	Michigan.....MI	0	0	0	0	(4,891)	0	0	0
24.	Minnesota.....MN	0	0	0	0	0	0	0	0
25.	Mississippi.....MS	0	0	0	0	0	0	0	0
26.	Missouri.....MO	0	0	0	0	0	0	0	0
27.	Montana.....MT	0	0	0	0	0	0	0	0
28.	Nebraska.....NE	0	0	0	0	0	0	0	0
29.	Nevada.....NV	0	0	0	0	0	0	0	0
30.	New Hampshire.....NH	0	0	0	0	0	0	0	0
31.	New Jersey.....NJ	0	0	0	0	0	0	0	0
32.	New Mexico.....NM	0	0	0	0	0	0	0	0
33.	New York.....NY	0	0	0	0	0	0	0	0
34.	North Carolina.....NC	0	0	0	0	0	0	0	0
35.	North Dakota.....ND	0	0	0	0	0	0	0	0
36.	Ohio.....OH	0	0	0	0	0	0	0	0
37.	Oklahoma.....OK	0	0	0	0	0	0	0	0
38.	Oregon.....OR	0	0	0	0	0	0	0	0
39.	Pennsylvania.....PA	0	0	0	0	0	0	0	0
40.	Rhode Island.....RI	0	0	0	0	0	0	0	0
41.	South Carolina.....SC	0	0	0	0	0	0	0	0
42.	South Dakota.....SD	0	0	0	0	0	0	0	0
43.	Tennessee.....TN	0	0	0	0	0	0	0	0
44.	Texas.....TX	0	0	0	0	(5,325)	0	0	14,675
45.	Utah.....UT	0	0	0	0	0	0	0	0
46.	Vermont.....VT	0	0	0	0	0	0	0	0
47.	Virginia.....VA	0	0	0	0	0	0	0	0
48.	Washington.....WA	0	0	0	0	0	0	0	0
49.	West Virginia.....WV	0	0	0	0	0	0	0	0
50.	Wisconsin.....WI	0	0	0	0	0	0	0	0
51.	Wyoming.....WY	0	0	0	0	0	0	0	0
52.	American Samoa.....AS	0	0	0	0	0	0	0	0
53.	Guam.....GU	0	0	0	0	0	0	0	0
54.	Puerto Rico.....PR	0	0	0	0	0	0	0	0
55.	U.S. Virgin Islands.....VI	0	0	0	0	0	0	0	0
56.	Nothern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57.	Canada.....CAN	0	0	0	0	0	0	0	0
58.	Aggregate Other Aliens.....OT	0	0	0	0	0	0	0	0
59.	Totals	0	0	0	0	35,642	0	0	14,675
DETAILS OF WRITE-INS									
58001.								
58002.								
58003.								
58998.	Summary of remaining write-ins for Line 58 from overflow page	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	0	0	0	0	0	0	0	0

Designate the type of health care providers reported on this page:
Other health care professionals, including dentists, chiropractors, and podiatrists

SUPPLEMENT A TO SCHEDULE T

EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN

ALLOCATED BY STATES AND TERRITORIES

		1	2	Direct Losses Paid		5	Direct Losses Unpaid		8
				3	4		6	7	
States, etc.		Direct Premiums Written	Direct Premiums Earned	Amount	No. of Claims	Direct Losses Incurred	Amount Reported	No. of Claims	Direct Losses Incurred But Not Reported
1.	Alabama	AL	0	0	0	0	0	0	0
2.	Alaska	AK	0	0	0	0	0	0	0
3.	Arizona	AZ	0	358	0	(1,722)	0	0	1,254
4.	Arkansas	AR	0	0	0	0	0	0	0
5.	California	CA	0	821	0	(4,880)	60,000	1	5,150
6.	Colorado	CO	0	65	0	(2,728)	0	0	1,586
7.	Connecticut	CT	0	1,398	0	(4,543)	0	0	2,515
8.	Delaware	DE	0	0	0	(867)	0	0	543
9.	District of Columbia	DC	0	0	0	(1,370)	0	0	748
10.	Florida	FL	0	2,955	0	(1,337)	0	0	1,358
11.	Georgia	GA	0	0	0	(5,823)	0	0	2,944
12.	Hawaii	HI	0	0	0	0	0	0	0
13.	Idaho	ID	0	508	0	(776)	0	0	435
14.	Illinois	IL	0	0	0	2,304	0	0	4,165
15.	Indiana	IN	0	2,183	0	(2,140)	0	0	1,397
16.	Iowa	IA	0	0	0	0	0	0	0
17.	Kansas	KS	0	0	0	(34)	0	0	19
18.	Kentucky	KY	0	229	0	(3,415)	0	0	1,699
19.	Louisiana	LA	0	1,763	0	(19,734)	0	0	11,223
20.	Maine	ME	0	0	0	(4,525)	0	0	2,191
21.	Maryland	MD	0	0	0	(1,337)	0	0	708
22.	Massachusetts	MA	0	(3)	0	(8,091)	0	0	4,008
23.	Michigan	MI	612	31,349	0	(10,347)	0	0	26,343
24.	Minnesota	MN	0	0	0	(1,010)	0	0	518
25.	Mississippi	MS	0	0	0	0	0	0	0
26.	Missouri	MO	0	642	0	965	0	0	1,602
27.	Montana	MT	0	0	0	0	0	0	0
28.	Nebraska	NE	0	0	0	0	0	0	0
29.	Nevada	NV	0	0	0	0	0	0	0
30.	New Hampshire	NH	0	0	0	(13,064)	0	0	5,022
31.	New Jersey	NJ	0	0	0	(5)	0	0	2
32.	New Mexico	NM	0	2,569	0	(1,414)	0	0	1,488
33.	New York	NY	0	20	0	(7,337)	0	0	4,585
34.	North Carolina	NC	0	632	0	(8,774)	0	0	4,369
35.	North Dakota	ND	0	0	0	0	0	0	0
36.	Ohio	OH	1,897	1,433	0	(1,948)	0	0	5,805
37.	Oklahoma	OK	0	0	0	0	0	0	0
38.	Oregon	OR	0	8	0	(922)	0	0	493
39.	Pennsylvania	PA	23	516	0	(2,364)	0	0	1,085
40.	Rhode Island	RI	0	0	0	(3,159)	0	0	1,518
41.	South Carolina	SC	0	989	0	(3,872)	0	0	1,941
42.	South Dakota	SD	0	0	0	0	0	0	0
43.	Tennessee	TN	0	618	0	(6,171)	0	0	2,400
44.	Texas	TX	0	506	0	(7,839)	0	0	5,414
45.	Utah	UT	0	1,050	0	(1,917)	0	0	1,180
46.	Vermont	VT	0	0	0	0	0	0	0
47.	Virginia	VA	0	0	0	(1,309)	0	0	558
48.	Washington	WA	0	0	0	(637)	0	0	307
49.	West Virginia	WV	0	0	0	0	0	0	0
50.	Wisconsin	WI	0	0	0	0	0	0	0
51.	Wyoming	WY	0	0	0	0	0	0	0
52.	American Samoa	AS	0	0	0	0	0	0	0
53.	Guam	GU	0	0	0	0	0	0	0
54.	Puerto Rico	PR	0	0	0	0	0	0	0
55.	U.S. Virgin Islands	VI	0	0	0	0	0	0	0
56.	Northern Mariana Islands	MP	0	0	0	0	0	0	0
57.	Canada	CAN	0	0	0	0	0	0	0
58.	Aggregate Other Aliens	OT	0	0	0	0	0	0	0
59.	Totals	2,532	50,609	0	0	(132,142)	60,000	1	106,573
DETAILS OF WRITE-INS									
58001.									
58002.									
58003.									
58998.	Summary of remaining write-ins for Line 58 from overflow page	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	0	0	0	0	0	0	0	0



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2022 OF THE AIX SPECIALTY INSURANCE COMPANY

Designate the type of health care
providers reported on this page:
Other health care facilities

SUPPLEMENT A TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES

		1	2	Direct Losses Paid		5	Direct Losses Unpaid		8
				3	4		6	7	
States, etc.		Direct Premiums Written	Direct Premiums Earned	Amount	No. of Claims	Direct Losses Incurred	Amount Reported	No. of Claims	Direct Losses Incurred But Not Reported
1.	Alabama.....AL	0	0	0	0	(1)	0	0	0
2.	Alaska.....AK	0	0	0	0	0	0	0	0
3.	Arizona.....AZ	6,338	98,672	75,000	1	(130,720)	0	0	40,443
4.	Arkansas.....AR	0	0	0	0	(633)	0	0	196
5.	California.....CA	124,906	167,188	0	0	(41,984)	25,022	3	122,277
6.	Colorado.....CO	482,818	590,441	0	0	237,084	305,110	6	236,893
7.	Connecticut.....CT	137,066	249,736	0	0	483,382	630,000	1	201,166
8.	Delaware.....DE	30,608	22,841	0	0	(809)	44	1	7,233
9.	District of Columbia.....DC	0	18,573	0	0	(7,634)	0	0	32,256
10.	Florida.....FL	94,891	38,036	0	0	3,103	0	0	20,123
11.	Georgia.....GA	358,613	209,407	0	0	(22,129)	44	1	69,334
12.	Hawaii.....HI	0	0	0	0	0	0	0	0
13.	Idaho.....ID	0	6,174	0	0	(5,009)	0	0	8,091
14.	Illinois.....IL	32,892	156,851	0	0	13,867	0	0	94,316
15.	Indiana.....IN	144,576	123,468	0	0	(32,844)	0	0	129,034
16.	Iowa.....IA	1,500	1,125	0	0	(5,871)	0	0	10,857
17.	Kansas.....KS	0	0	0	0	(124)	0	0	75
18.	Kentucky.....KY	0	598	0	0	(4,319)	0	0	4,041
19.	Louisiana.....LA	19,679	28,440	0	0	(163,951)	292,002	5	83,899
20.	Maine.....ME	93,852	208,683	0	0	34,241	0	0	130,963
21.	Maryland.....MD	312,849	254,285	0	0	(22,894)	70,066	3	187,754
22.	Massachusetts.....MA	646,232	634,783	0	0	97,729	0	0	548,682
23.	Michigan.....MI	214,551	219,950	0	0	(50,557)	0	0	309,946
24.	Minnesota.....MN	291,564	497,480	0	0	76,741	296,000	3	393,160
25.	Mississippi.....MS	0	0	0	0	0	0	0	0
26.	Missouri.....MO	0	1,777	0	0	(6,330)	0	0	11,177
27.	Montana.....MT	9,709	12,119	0	0	1,788	0	0	4,998
28.	Nebraska.....NE	0	0	0	0	0	0	0	0
29.	Nevada.....NV	0	0	0	0	0	0	0	0
30.	New Hampshire.....NH	484,013	398,840	25,000	1	146,293	330,189	9	254,003
31.	New Jersey.....NJ	264,440	182,151	(25,000)	1	103,092	639,000	6	282,363
32.	New Mexico.....NM	0	1,985	0	0	(591)	0	0	709
33.	New York.....NY	938,873	783,897	0	0	55,917	178,123	6	573,397
34.	North Carolina.....NC	297,789	199,239	0	0	328,107	1,095,068	7	166,731
35.	North Dakota.....ND	8,025	20,707	0	0	(3,113)	0	0	17,926
36.	Ohio.....OH	1,053,346	934,986	30,000	1	(180,455)	125,033	4	593,673
37.	Oklahoma.....OK	0	0	0	0	0	0	0	0
38.	Oregon.....OR	239,653	216,966	0	0	32,103	0	0	173,304
39.	Pennsylvania.....PA	1,052,476	1,110,872	0	0	150,417	425,374	14	546,319
40.	Rhode Island.....RI	470,261	380,842	0	0	584,029	1,681,081	12	257,135
41.	South Carolina.....SC	165	4,122	0	0	(13,282)	0	0	20,194
42.	South Dakota.....SD	67,279	41,547	0	0	3,972	44	1	11,224
43.	Tennessee.....TN	0	6,007	0	0	(79,438)	100,000	1	160,417
44.	Texas.....TX	1,723,845	1,619,737	85,000	1	374,862	1,200,110	17	589,825
45.	Utah.....UT	52,477	88,545	0	0	18,305	22	1	73,644
46.	Vermont.....VT	93,381	180,229	0	0	8,907	0	0	122,250
47.	Virginia.....VA	1,247,415	960,204	0	0	233,439	690,330	11	532,832
48.	Washington.....WA	647,609	565,322	200,000	1	15,940	77	2	219,160
49.	West Virginia.....WV	0	0	0	0	0	0	0	0
50.	Wisconsin.....WI	0	0	0	0	(5,929)	0	0	5,895
51.	Wyoming.....WY	0	0	0	0	0	0	0	0
52.	American Samoa.....AS	0	0	0	0	0	0	0	0
53.	Guam.....GU	0	0	0	0	0	0	0	0
54.	Puerto Rico.....PR	0	0	0	0	0	0	0	0
55.	U.S. Virgin Islands.....VI	0	0	0	0	0	0	0	0
56.	Nothern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57.	Canada.....CAN	0	0	0	0	0	0	0	0
58.	Aggregate Other Aliens.....OT	0	0	0	0	0	0	0	0
59.	Totals	11,643,691	11,236,825	390,000	6	2,224,701	8,082,739	114	7,247,915
DETAILS OF WRITE-INS									
58001.								
58002.								
58003.								
58998.	Summary of remaining write-ins for Line 58 from overflow page	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	0	0	0	0	0	0	0	0